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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#13
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Applicants:)
KIYOSHI SAWADA, ET AL.)
Serial No: 09/857,904)
Filed: _____, 2001)
(transmitted to USRO on 6/8/01))
Atty. Docket No.: 19036/37471)
International App. No.:)
PCT/JP99/06890)
I.A. Filing Date: 09 December 1999)

TRANSMITTAL OF EXECUTED DECLARATION

Box PCT
Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is an executed Declaration for filing in the above-identified application, in response to the Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) on 01 August 2001.

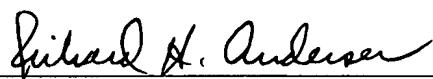
CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on September 26, 2001 in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

10/04/2001 MKAYPAGH 00000034 09857904

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Richard H. Anderson

Also enclosed is a copy of the Notification together with our check in the amount of \$130.00 in payment of the surcharge fee.

A check for the additional claim fees of \$504.00 as a large entity is not enclosed. The undersigned attorney believes the PTO is in error since the application (substitute specification), as filed, contained only 19 claims (with no multiple dependent claims) and believes that the PTO looked at the English translation of the international application which was also included with the above application when filed.

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 13-2855. A copy of this request is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN
6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6402
(312) 474-6300

By:


Richard H. Anderson
Reg. No: 26,526

September 26, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.

09/857904

FIRST NAMED APPLICANT

SAWADA

ATTY. DOCKET NO.

K 19036/37471

INTERNATIONAL APPLICATION NO.

PCT/JP99/06890

I.A. FILING DATE

09 DEC 99

PRIORITY DATE

09 DEC 98

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OCT 01 2001
PATENT & TRADEMARK OFFICE
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MARSHALL, O TOOLE, GERSTEIN, MURRAY & BO
6300 SEARS TOWER
233 SOUTH WACKER DRIVE
CHICAGO, IL 60606 6402

RECEIVED

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NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:
 - a Designated Office (37 CFR 1.494) an Elected Office (37 CFR 1.495):
 - U.S. Basic National Fee.
 - Copy of the international application.
 - Oath or Declaration of inventors(s).
 - Copy of Article 19 amendments.
 - Priority Document.
 - The International Preliminary Examination Report in English and its Annexes, if any.
 - Translation of Annexes to the International Preliminary Examination Report into English.
2. Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
 - U.S. Basic National Fee.
 - Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
 - a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 - b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 - c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and ~~DOcketed identifying~~ the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 - d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$ 504 as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

*A copy of this notice **MUST** be returned with this response.*

Enclosed: PCT/DO/EO/917 Notice of Defective Translation
 PTO-875 PCT/DO/EO/920

Fred Smith

Telephone: 703-305-3654